"America's economy is the most prosperous in the world, and the small business sector is one of its great strengths. During Small Business Week, we honor small business owners and workers, and we reaffirm our commitment to keeping America the best place in the world to do business...As small business owners and employees add to the vitality of our economy, they also inspire others to realize the full promise of our Nation. I join all Americans in celebrating the entrepreneurial spirit and hard work of our small business owners and employees."

George W. Bush
President of the United States

(SBW 2006 Proclamation)

Dear Friends of America's Small Business Community:

For more than 40 years, the SBA and National Small Business Week have recognized outstanding owners of small businesses for their personal achievements and contributions to our nation's economy. Each year since 1963, Presidents have called for the celebration of Small Business Week.

In 2007, that celebration will honor an estimated 25 million small businesses in America. Small businesses have driven America's economy, transformed their communities, created jobs and enabled people to realize their entrepreneurial dreams. It is through small businesses that we see innovation thrive and grow to become companies like FedEx and Callaway Golf, both recipients of SBA assistance. Small businesses take risks that others just would not take because they believe in a vision and because they know that they will match every dollar of equity they put into the business with an equivalent \$10 of sweat equity.

National Small Business Week is our opportunity to showcase and recognize some of the best and brightest of those successful entrepreneurs. Please help us to select the most outstanding candidates for that honor. With your help, support and timely nomination of truly outstanding small business owners, we can make Small Business Week 2007 the best ever!

Sincere Thanks,

Steven C. Preston

SBA Administrator

All SBA programs and services are provided on a nondiscriminatory basis.

Introduction

In recognition of the small business community's contribution to the American economy and society, the President of the United States designates one week each year as National Small Business Week. Leading up to National Small Business Week, the U.S. Small Business Administration, oftentimes in conjunction with public- and private-sector small business supporters, will host special events at the local and state levels to honor and present awards to the nation's entrepreneurs and those who support and champion small business. Many of the award winners from those local and state events will be invited to Washington, D.C. for SBW '07 — Celebrating National Small Business Week.

SBA Awards given in celebration of National Small Business Week include the following awards:

- Small Business Person of the Year (one from each of the 50 states, the District of Columbia, Puerto Rico and the U.S. Virgin Islands, and Guam);
- Small Business Exporter of the Year;
- SBA Young Entrepreneur of the Year;
- Jeffrey Butland Family-Owned Business of the Year;
- Phoenix Awards (for disaster recovery);
- Small Business Champions (individuals or organizations dedicated to supporting small businesses);
- Small Business Prime Contractor of the Year;
- Small Business Subcontractor of the Year;
- Frances Perkins Vanguard Awards (for use of women-owned businesses in government contracting);
- Dwight D. Eisenhower Awards for Excellence (for large prime contractors who use small businesses as suppliers and contractors);
- Gold Star Awards (for federal government contracting);
- 7(a) Small Business Lender of the Year (does not require nominations);
- 504 Lender of the Year (does not require nominations);
- Export Lender of the Year (does not require nominations); and

The National Small Business Person of the Year, Small Business Prime Contractor of the Year, and Small Business Subcontractor of the Year will be announced and honored at a National event during National Small Business Week. They will be joined by government officials, corporate leaders and small businesses from around the country.

The Agency reserves the right, in its sole reasonable discretion, to remove a nominee from the selection process.

SBA Awards

The nomination and selection process, evaluation criteria and time frame for the various SBA Awards are set forth below.

Small Business Person of the Year Awards

SBA will make Small Business Person of the Year awards on the district level (in multi-district states), the state level (from each of the 50 states, the District of Columbia, Puerto Rico, the U.S. Virgin Islands and Guam) and the national level. The National Small Business Person of the Year is selected from the State winners.

Who is eligible to be nominated for this award?

Any individual who owns and operates or who bears principal responsibility for operating a small business may be nominated. Partners who jointly own and operate a small business may be nominated as a "team," so long as the number of individuals in the team nomination does not exceed four. A nominee for Small Business Person of the Year must own or operate a business defined as "small" under the applicable SBA size standards. Nominees must also be residents of the United States or its territories and will be subject to background checks. Small businesses owned and operated by nominees must comply with federal civil rights laws. While receipt of SBA assistance is not required, it is preferred. Consult your nearest SBA district office if you have questions.

Who may submit nominations for this award?

Any individual or organization dedicated to the support of the small business community in the United States, including, but not limited to, trade and professional associations and business organizations, may submit nominations for the Small Business Person of the Year award. Individuals may not be nominated for more than one award category.

Where are the nominations to be sent?

Nominations must be submitted to the nearest U.S. Small Business Administration district office serving the state or territory of the nominee (see list beginning on page 27).

What is the deadline for nominations?

All nominations for Small Business Person of the Year must be postmarked or hand delivered to the appropriate SBA District Office no later than November 24, 2006.

What information must the nomination package contain?

Nominations must be typewritten on one side of 8 1/2" x 11" white stationery, collated and secured in a 1 1/2" binder. Incomplete nomination packages will not be considered. All evaluation/selection criteria must be specifically addressed. A complete nomination package will also include, in the following order:

- 1. A single cover page with
 - the nominee's full name, title, business and home addresses with telephone and fax numbers, and e-mail address if applicable;
 - the award for which the nomination is being made;
 - the nominator's name, title, place of business, business address and telephone number and e-mail address if applicable;
 - type of SBA assistance received (e.g., loan, SCORE counseling, SBDC assistance, etc.): and
 - a one-paragraph description of the nominee's business for Small Business Person of the Year.
- 2. A completed background form (SBA Form 912 Statement of Personal History) which will be made available through SBA field offices. For "team" nominations for Small

Business Person of the Year, a background form is required for each team member.

- 3. An original 8" x 10" or 5" x 7" photo of the nominee; or a digital photo at least 300 dpi; photocopies are not acceptable.
- 4. A nomination letter, to include a concise statement of the qualities and performance that merit the award, not exceeding four pages.
- 5. A brief biography of the nominee, not exceeding one page.
- 6. A business profile, not exceeding one page.
- 7. The nominee's financial statement including balance sheets, profit-and-loss statements and financial reports not exceeding 12 pages, on 8 1/2" x 11" paper for the last three years.
- 8. Any other supporting documentation deemed significant by the nominator, including news clips, letters of recommendation and other evidence of the appropriateness of the nomination. Supporting documentation must not exceed 10 pages. Videos will not be considered.
- 9. A completed Award Nomination Consent Form, attached and available at SBA offices.

What are the selection procedures for this award?

Small Business Persons of the Year Award winners will be selected at the district (in multi-district states), state and national levels. In those states served by more than one SBA district office, additional awards may also be given at the district level. Winners are selected by a panel of judges. Winners at each level will be considered for awards at the next highest level. Nomination packages for each state winner will be sent to regional SBA offices and prepared for transmittal to the SBA's Office of Communications and Public Liaison for review. The National Small Business Person of the Year will be selected by the SBA Administrator based on the recommendations of the agency's Small Business Week panel of judges. The winner will be announced during National Small Business Week. Non-federal individuals serving as judges must sign a conflict of interest/non-disclosure certification.

Key Deadlines

December 8, 2006 National Small Business Week 2007 nominations must be received by SBA district offices.

December 29, 2006 SBA district winners are selected from multi-district states; state winners are selected from single-district states.

February 2, 2007 A panel of judges selects state winners in multi-district states.

February 21, 2007 State Small Business Persons of the Year are announced.

What are the evaluation/selection criteria for this award?

In evaluating the nomination packages, the judges will look at the following criteria:

- 1. Staying power a substantiated history as an established business; including:
 - Number of years in business.
 - Sustained expansion, addition of territories, growth in square footage occupied.
 - Steady growth in net worth as evidenced by total assets less total liabilities on fiscal year end annual balance sheets over three years.
- 2. Growth in number of employees a benchmark to judge the impact of the business on the job market.
 - Sustained over a minimum of three years.
 - Increase over the three years must be in excesses of growth in Gross National Product.

- 3. Increase in sales and/or unit volume an indication of continued growth over the last three years.
 - Consistent growth in net income as evidenced by fiscal year annual profit-and-loss statements for a minimum of three years.
- 4. Current and past financial performance financial reports substantiate an improved financial position of the business.
 - Profit-and-loss statements for the last three years, reflecting sustained upward growth.
 - Balance sheets for the last three years, showing consistent increase in net worth and/or partners' return.
- 5. Innovativeness of product or service offered an illustration of the creativity and imagination of the nominee.
 - Specific description of uniqueness of product or service.
 - Explanation of how product or service fits a niche not being adequately addressed by the competition.
- 6. Response to adversity examples of problems faced in the nominee's business and the methods used to solve them, including:
 - Specific description of financial, physical, legal or other crisis.
 - Substantiation of the threat to the continuity of the business.
 - Defined actions taken by the nominee to resolve the crisis.
- 7. Contributions to community-oriented projects evidence of the use of his/her personal time and resources, including:
 - Listing of specific contributions of money, time, or resources to charitable causes.
 - Membership in councils, boards and clubs providing support and services to the community.

SBA AWARDS TO SMALL BUSINESSES

- Small Business Exporter of the Year
- SBA Young Entrepreneur of the Year
- Jeffrey Butland Family-Owned Business of the Year

SBA will make the above referenced awards to eligible small businesses on the district level (in multi-district states), the state level (from each of the 50 states, the District of Columbia, Puerto Rico, the U.S. Virgin Islands and Guam) and the national level. The National winners will be selected from the State winners.

Who is eligible to be nominated for this award?

To be nominated and receive one of these awards, the small business nominee must fit within the category of the award:

<u>Exporter</u>: Any individual who owns and operates a small business engaged in exporting may be nominated.

Young entrepreneur: To be considered a young entrepreneur, the individual must serve as a majority owner and operate or bear principal responsibility for operating a small business with a three year track record, and who will not have reached the age of 30 by June 1, 2007.

<u>Family-owned business</u>: This award honors a family-owned and operated business which has been passed on from one generation to another. The owner must also serve as a majority

owner and operator or bear principal responsibility for operating a small business with at least a 15-year track record.

In addition, the nominee must be an individual who owns and operates or who bears principal responsibility for operating a small business may be nominated. Partners who jointly own and operate a small business may be nominated as a "team," so long as the number of individuals in the team nomination does not exceed four. A nominee for one of these awards must own or operate a business defined as "small" under the applicable SBA size standards. Nominees must also be residents of and employed in the United States or its territories and will be subject to background checks. Small businesses owned and operated by nominees must comply with federal civil rights laws. Consult your nearest SBA district office if you have questions.

Who may submit nominations for this award?

Any individual or organization dedicated to the support of the small business community in the United States, including, but not limited to, trade and professional associations and business organizations, may submit nominations for these Small Business Awards. Individuals may not be nominated for more than one award category.

Where are the nominations to be sent?

Nominations must be submitted to the nearest U.S. Small Business Administration district office in the state or territory of the nominee (see list beginning on page 27).

What is the deadline for nominations?

All nominations for the above Small Business Awards must be postmarked or hand delivered no later than December 8, 2006.

What information must the nomination package contain?

Nominations must be typewritten on one side of 8 1/2" x 11" white stationery, collated and secured in a 11/2" binder. Incomplete nomination packages will not be considered. All evaluation/selection criteria must be specifically addressed. A complete nomination package will also include, in the following order:

- 1. A single cover page with
 - the nominee's full name, title, business and home addresses with telephone and fax numbers, and e-mail address if applicable;
 - the award for which the nomination is being made;
 - the nominator's name, title, place of business, business address and telephone number and e-mail address if applicable; and
 - a one-paragraph description of the nominee's business.
- 2. A completed background form (SBA Form 912 Statement of Personal History) which will be made available through SBA field offices. For "team" nominations for any of the above Small Business Awards, a background form is required for each team member.
- 3. An original 8" x 10" or 5" x 7" photo of the nominee; or a digital photo at least 300 dpi; photocopies are not acceptable.
- 4. A nomination letter, to include a concise statement of the qualities and performance that merit the award, not exceeding four pages.
- 5. A brief biography of the nominee, not exceeding one page.
- 6. A business profile, not exceeding one page.

- 7. The nominee's financial statement including balance sheets, profit-and-loss statements and financial reports not exceeding 12 pages, on 8 1/2" x 11" paper for the last three years.
- 8. Any other supporting documentation deemed significant by the nominator, including news clips, letters of recommendation and other evidence of the appropriateness of the nomination. Supporting documentation must not exceed 10 pages. Videos will not be considered.
- 9. A completed Award Nomination Consent Form, attached and available at SBA offices. 10. For Small Business Exporter of the Year, the nomination package must include a description of the products exported and markets served.

What are the selection procedures for this award?

These SBA Small Business Award winners will be selected at the district (in multi-district states), state and national levels. In those states served by more than one SBA district office, additional awards may also be selected at the district level. Winners are selected by a panel of judges. Winners at each level will be considered for awards at the next highest level. Nomination packages for each state winner will be sent to regional SBA offices and prepared for transmittal to the SBA's Office of Field Operations for review. The National Small Business Awardees will be selected by the SBA Administrator based on the recommendations of the agency's Small Business Week panel of judges. The winners will be announced during National Small Business Week. Non-federal individuals serving as judges must sign a conflict of interest/non-disclosure certification

Key Deadline

December 8, 2006 National Small Business Week 2007 nominations must be received by SBA district offices.

December 29, 2006 SBA district winners are selected from multi-district states; state winners are selected from single-district states.

February 2, 2007 A panel of judges selects state winners in multi-district states.

February 21, 2007 State Small Business Awards are announced.

What are the evaluation/selection criteria for these awards?

Each of the above awards has separate evaluation criteria which are listed below for each award:

Small Business Exporter

- 1. Increased sales, profits and/or growth of employment because of exporting.
- 2. Creative overseas marketing strategies.
- 3. Effective solutions to export-related problems.
- 4. Demonstrated encouragement of other small businesses to export.
- 5. Volunteer assistance to other small businesses entering the export market.
- 6. Cooperation with other businesses in the creation of export trading companies and/or introduction of unique trading relationships, products or services.

SBA Young Entrepreneur of the Year

- 1. Evidence of success as measured by sales and profits.
- 2. Increased employment opportunities created by the nominee's business.

- 3. Development and/or utilization of innovative or creative business methods.
- 4. Demonstrated entrepreneurial potential necessary for long-term business success and economic growth.

Jeffrey Butland Family-Owned Business of the Year

- 1. Evidence of success as measured by sales and profits.
- 2. Increased employment opportunities for family members and non-family members for the nominee's business.
- 3. Demonstrated potential necessary for long-term business success and economic growth.
- 4. Voluntary efforts to strengthen family-owned businesses within the community.

Small Business Champion Awards

- Financial Services Champion of the Year
- Home-Based Business Champion of the Year
- Minority Small Business Champion of the Year
- Small Business Journalist of the Year
- Veteran Small Business Champion of the Year
- Women in Business Champion of the Year

Small Business Champion awards are made to individuals or organizations dedicated to supporting small businesses. SBA will make Small Business Champion awards on the district level (in multi-district states), the state level (from each of the 50 states, the District of Columbia, Puerto Rico, the U.S. Virgin Islands and Guam) and the national level. The National Small Business Champion awards are selected from the State winners.

Who is eligible to be nominated for these awards?

Financial Services Champion of the Year: Individuals who assist small businesses through advocacy efforts to increase the usefulness and availability of accounting or financial services may be nominated. Nominees may or may not be small business owners.

Home-Based Business Champion of the Year: Individuals who have experienced the rewards and difficulties of owning a home-based business and have volunteered to improve the climate for these businesses may be nominated. Nominees may or may not be current small business owners.

Minority Small Business Champion of the Year: Individuals who have fulfilled a commitment to support minority entrepreneurship may be nominated. Nominees may or may not be small business owners.

Small Business Journalist of the Year: Journalists representing television, radio, electronic or print media may be nominated.

Veteran Small Business Champion of the Year: Individuals who have fulfilled a commitment to advancing small business opportunities for veterans of the U.S. armed forces may be nominated. Nominees may or may not be veterans.

Women in Business Champion of the Year: Individuals who have fulfilled a commitment to the advancement of women's business ownership may be nominated. Nominees may or may not be small business owners.

Consult your nearest SBA district office if you have questions.

Who may submit nominations for this award?

Any individual or organization dedicated to the support of the small business community in the United States, including, but not limited to, trade and professional associations and business organizations, may submit nominations for Small Business Champion awards. Individuals may not be nominated for more than one award category.

Where are the nominations to be sent?

Nominations must be submitted to the nearest U.S. Small Business Administration district office in the state or territory of the nominee (see list beginning on page 27).

What is the deadline for nominations?

All nominations for Small Business Champion Awards must be postmarked or hand delivered to the appropriate SBA district office no later than December 8, 2006.

What information must the nomination package contain?

Nominations must be typewritten on one side of 8 1/2" x 11" white stationery, collated and secured in a 1 1/2" binder. Incomplete nomination packages will not be considered. All evaluation/selection criteria must be specifically addressed. A complete nomination package will include, in the following order:

- 1. A single cover page with
 - the nominee's full name, title, business and home addresses with telephone and fax numbers, and e-mail address if applicable;
 - the award for which the nomination is being made;
 - the nominator's name, title, place of business, business address and telephone number and e-mail address if applicable; and
 - a one-paragraph description of the nominee's business and/or professional occupation.
- 2. A completed background form (SBA Form 912 Statement of Personal History) which will be made available through SBA field offices.
- 3. An original 8" x 10" or 5" x 7" photo of the nominee; or a digital photo at least 300 dpi; photocopies are not acceptable.
- 4. A nomination letter, to include a concise statement of the qualities and performance that merit the award, not exceeding four pages.
- 5. A brief biography of the nominee, not exceeding one page.
- 6. A business profile, not exceeding one page.
- 7. Any other supporting documentation deemed significant by the nominator, including news clips, letters of recommendation and other evidence of the appropriateness of the nomination. Supporting documentation must not exceed 10 pages. For all but the Small Business Journalist of the Year, videos will not be considered. For the journalist award, submitting sample articles or tapes as part of the nomination package is encouraged.
- 8. A completed Award Nomination Consent Form, attached and available at SBA offices.

What are the selection procedures for these awards?

Small Business Champion Award winners will be selected at the district (in multi-district states), state and national levels. In those states served by more than one SBA district office, additional awards may also be selected at the district level. Winners are selected by a panel of judges. Winners at each level will be considered for awards at the next highest level. Nomination packages for each state winner will be sent to regional SBA offices and prepared for transmittal to the SBA's Office of Field Operations for review. The National Small Business Champion winners will be selected by the SBA Administrator based on the recommendations of the agency's Small Business Week panel of judges. The winners will be announced during National Small Business Week. Non-federal individuals serving as judges must sign a conflict of interest/non-disclosure certification.

Kev Deadlines

December 8, 2006 National Small Business Week 2007 nominations must be received by SBA district offices.

December 29, 2006 SBA district winners are selected from multi-district states; state winners are selected from single-district states.

February 2, 2007 A panel of judges selects state winners in multi-district states.

February 21, 2007 State Small Business Champions are announced.

What are the evaluation/selection criteria for this award?

Each of the above awards has separate evaluation criteria which are listed below for each award:

Financial Services Champion of the Year

- 1. Outside of regular business duties, the amount and quality of assistance given small businesses to obtain financing.
- 2. Advocacy for changes in the financial services industry to assist small companies.
- 3. Encouragement of the flow of investment capital to small ventures.
- 4. Active support for legislative or regulatory action designed to help small firms.
- 5. Other significant contributions through the advocacy efforts of the financial services or accounting industries to advance small business interests.

Home-Based Business Champion of the Year

- 1. Volunteer time and energy to improve the conditions for home-based businesses.
- 2. Engage in entrepreneurial training, policy development efforts, or financial or business planning specifically tailored for home-based businesses.
- 3. Demonstrated interest in home-based businesses by an owner or former owner.
- 4. Measurable accomplishments in advancing home-based businesses, such as adoption of public policy or expansion of a program.

Minority Small Business Champion of the Year

- 1. Volunteer efforts beyond business/professional responsibilities to advance minority small business interests within the community, state and/or nation.
- 2. Demonstrated efforts to improve conditions in the minority small business community as a whole, not solely for individual personal advancement.
- 3. Voluntary provision of professional services to the minority small business community in a legal, legislative, managerial or financial capacity.

- 4. Demonstrated accomplishments in advising minority small business groups of opportunities within the overall business community.
- 5. Other accomplishments demonstrating the nominee's merit as an effective advocate for minority small business interests.

Small Business Journalist of the Year

- 1. Concerted efforts to increase public understanding of the importance of small business contributions to the economy.
- 2. Contribution of news and feature stories, editorials, columns and commentary that highlight and analyze small business issues.
- 3. Voluntary community service aimed at enhancing small business opportunity and growth.
- 4. Other achievements that exemplify the nominee's media efforts to improve the understanding of the role of small business in the U.S. economy.

Veteran Small Business Champion of the Year

- 1. Active support for legislative or regulatory action designed to help small businesses.
- 2. Evidence of increased business opportunities for veterans as a result of the nominee's actions.
- 3. Advisory activities to improve awareness of small business opportunities among veterans' groups.
- 4. Advocacy of special consideration for veteran-owned small businesses in government policymaking.
- 5. Demonstrated success in obtaining support within the community for the establishment of veteran-owned small businesses.
- 6. Other accomplishments demonstrating the nominee's effective advocacy of veteranowned small businesses.

Women in Business Champion of the Year

- 1. Active support for legislative or regulatory action designed to help small businesses.
- 2. Efforts to increase business and financial opportunities for women.
- 3. Legal, financial or managerial assistance provided to enhance women's business ownership.
- 4. Voluntary efforts to strengthen the role of women business owners within the community.
- 5. Advocacy of the women-owned business community as a whole, not solely for individual personal advancement.
- 6. Other accomplishments demonstrating effectiveness in improving the environment for the creation and expansion of businesses owned and operated by women.

Phoenix Awards

- Phoenix Award for Small Business Disaster Recovery
- Phoenix Award for Outstanding Contributions to Disaster Recovery

Phoenix awards are given to those individuals (business owners, volunteers and public officials) whose efforts and contributions have enabled their businesses or communities to recover successfully from a disaster.

Phoenix Award for Small Business Disaster Recovery

Who is eligible to be nominated for this award?

Any individual who owns and operates a small business that suffered physical damage following a disaster during the previous fiscal year and received an SBA physical disaster loan may be nominated.

Who may submit nominations for this award?

The SBA Disaster Field Operations Centers will nominate and accept nominations of Phoenix Award nominees.

Where are the nominations to be sent?

SBA Disaster Field Operations Centers (see list beginning on page 34).

What is the deadline for nominations?

All nominations for Phoenix Awards must be postmarked or hand delivered **no later than January 22, 2007.**

What information must the nomination package contain?

Nominations must be typewritten on one side of 8 1/2" x 11" white stationery, collated and secured in a 1 1/2" binder. Incomplete nomination packages will not be considered. All evaluation/selection criteria must be specifically addressed. A complete nomination package will include, in the following order:

- 1. A single cover page with
 - the nominee's full name, title, business and home addresses with telephone and fax numbers, and e-mail address if applicable;
 - the award for which the nomination is being made;
 - the nominator's name, title, place of business, business address and telephone number and e-mail address if applicable; and
 - a one-paragraph description of the nominee's business and/or professional occupation.
- 2. A completed background form (SBA Form 912 Statement of Personal History) which will be made available through SBA field offices.
- 3. An original 8" x 10" or 5" x 7" photo of the nominee; or a digital photo at least 300 dpi; photocopies are not acceptable.
- 4. A nomination letter, to include a concise statement of the qualities and performance that merit the award, not exceeding four pages.
- 5. A brief biography of the nominee, not exceeding one page.
- 6. A business profile, to include documentation supporting approval of the SBA disaster loan.
- 7. A narrative reporting how the disaster damaged the business, how the company was able to rebuild and maintain 90 percent of its pre-disaster work force after receiving the SBA disaster loan, steps taken to prevent future disaster damage (if any), photos documenting the disaster damage (if possible), and photos of the rebuilt property.

- 8. Any other supporting documentation deemed significant by the nominator, including news clips, letters of recommendation and other evidence of the appropriateness of the nomination. Supporting documentation must not exceed 10 pages.
- 9. A completed Award Nomination Consent Form, attached and available at SBA offices.

What are the selection procedures for this award?

Winners will be selected by a panel of judges.

What are the evaluation/selection criteria for this award?

- 1. Recent disaster The business suffered a physical disaster loss during FY06 and received an SBA disaster loan during FY 2006 and/or FY 2007.
- 2. Extent of damage The business suffered at least 40 percent physical damage.
- 3. Resiliency The business successfully resumed its operations within the same geographic area
- 4. Employment level The business maintained at least 90 percent of its pre-disaster employment level.
- 5. Contribution to the local community (e.g., the only supermarket in town, a major employer in the city, etc.).
- 6. Speed of recovery.
- 7. Initiative and innovation displayed during recovery.
- 8. Efforts made by the business to protect the property from future disaster losses.

Phoenix Award for Outstanding Contributions to Disaster Recovery

Phoenix awards may also be presented to a private-citizen volunteer and public official who have each made an outstanding contribution to a community's recovery following a natural disaster which occurred during the previous fiscal year.

Who may submit nominations for this award?

The SBA Disaster Field Operations Centers will nominate and accept nominations of Phoenix Award nominees.

Where are the nominations to be sent?

SBA Disaster Field Operations Centers. (see list on page 34).

What is the deadline for nominations?

All nominations for Phoenix Awards must be postmarked or hand delivered no later than January 22, 2007.

What are the selection procedures for this award?

Phoenix Award nominees in the Outstanding Contribution to Disaster Recovery by a public official and volunteer categories are judged by the substantive, selfless and far-reaching nature of their contribution to the post-disaster recovery of their respective communities. Winners will be selected by a panel of judges. Non-federal individuals serving as judges must sign a conflict of interest/non-disclosure certification.

What information must the nomination package contain?

Nominations must be typewritten on one side of 8 1/2" x 11" white stationery, collated and secured in a 1 1/2" binder. Incomplete nomination packages will not be considered. All evaluation/selection criteria must be specifically addressed. A complete nomination package will include, in the following order:

- 1. A single cover page with
 - the nominee's full name, title, business and home addresses with telephone and fax numbers, and e-mail address if applicable;
 - the award for which the nomination is being made;
 - the nominator's name, title, place of business, business address and telephone number and e-mail address if applicable; and
 - a one-paragraph description of the nominee's business and/or professional occupation.
- 2. A completed background form (SBA Form 912 Statement of Personal History) which will be made available through SBA field offices.
- 3. An original 8" x 10" or 5" x 7" photo of the nominee; or a digital photo at least 300 dpi; photocopies are not acceptable.
- 4. A nomination letter, to include a concise statement of the qualities and performance that merit the award, not exceeding four pages.
- 5. A brief biography of the nominee, not exceeding one page.
- 6. A narrative detailing how that person responded to the needs of the community in the aftermath of the disaster, as well as a biography and photo of the nominee. In all categories, the submission of articles from newspapers and magazines is encouraged.
- 7. Any other supporting documentation deemed significant by the nominator, including news clips, letters of recommendation and other evidence of the appropriateness of the nomination. Supporting documentation must not exceed 10 pages.
- 8. A completed Award Nomination Consent Form, attached and available at SBA offices.

Federal Procurement Awards

- Small Business Prime Contractor of the Year
- Small Business Subcontractor of the Year
- Dwight D. Eisenhower Award for Excellence
- Gold Star
- Frances Perkins Vanguard

Small Business Prime Contractor of the Year Award

Who is eligible to be nominated for these awards?

These awards honor small businesses that have provided the government with outstanding goods and services as prime contractors. All nominees must be defined as "small" according to applicable SBA size standards. Firms that have received these awards within the past three years are not eligible. For more information, contact the nearest Government Contracting Area Office (see list beginning on page 33).

Who may submit nominations for these awards?

Only federal agencies may nominate firms for the Small Business Prime Contractor of the Year Award.

Where are the nominations to be sent?

Nomination for the Small Business Prime Contractor of the Year Award must be submitted to the Government Contracting Area Office, Attn: Government Contracting Area Director, in which the nominating federal agency's buying activity is located. (see list on page 33).

What is the deadline for nominations?

All nominations must be postmarked or hand delivered no later than December 15, 2006.

What information must the nomination package contain?

Nominations must be typewritten on one side of 8 1/2" x 11" white stationery, collated and secured in a 1 1/2" binder. Incomplete nomination packages will not be considered. All evaluation/selection criteria must be specifically addressed. A complete nomination package must include the following information, in the order indicated below:

- 1. A single cover page with containing:
 - Award for which the nomination is being made (i.e., Small Business Prime Contractor of the Year Award):
 - The nominee's full name, title, business and home addresses, telephone and fax numbers, and e-mail address (if available);
 - Nominator's name, title, agency name, buying activity name, business address and telephone number, and e-mail address (if available); and
 - One-paragraph description of the nominee's business and/or professional ecupation.
- 2. Completed SBA Form 912 Statement of Personal History (available through SBA field offices).
- 3. Original 8" x 10" or 5" x 7" photo of the nominee, or a digital photo at least 300 dpi. (Photocopies are not acceptable.)
- 4. Nomination letter, to include a concise statement of the qualities and performance that merit the award, not exceeding four pages.
- 5. Brief biography of the nominee, not exceeding one page.
- 6. Business profile, not exceeding one page.
- 7. Any other supporting documentation deemed significant by the nominator, including news clips, letters of recommendation, and other evidence of the appropriateness of the nomination. (Supporting documentation must not exceed 10 pages.)
- 8. Completed Award Nomination Consent Form, attached and available at SBA offices.
- 9. SBA Form 1375, Nomination for Small Business Prime Contractor of the Year Award, available at http://www.sba.gov/library/forms.html by clicking on Forms by Form Number.

What are the selection procedures for this award?

Small Business Prime Contractor of the Year award winners will be selected at area and national levels. The nomination packages will be sent to the cognizant Government Contracting Area Offices. Area winners will be selected by a panel of judges at the cognizant Government Contracting Area Offices. The nomination packages of area winners will be transmitted to the Office of Government Contracting for review. The National Small Business Prime Contractor of the Year will be selected by the SBA Administrator, based on

the recommendations of a panel of judges. The area and national winners will be announced during National Small Business Week. Non-federal individuals serving as judges must sign a conflict of interest/non-disclosure certification.

What are the evaluation/selection criteria for this award?

The company must excel in the following areas:

- 1. Overall management
- 2. Delivery performance
- 3. Technical capabilities
- 4. Labor relations
- 5. Cost performance
- 6. Special achievements
- 7. Resource utilization
- 8. Customer interface
- 9. Financial strength, and
- 10. Exceptional results.

Small Business Subcontractor of the Year Award

Who is eligible to be nominated for these awards?

These awards honor small businesses that have provided the government and industry with outstanding goods and services as subcontractors. All nominees must be defined as "small" according to applicable SBA size standards. Firms that have received this award within the past three years are not eligible for nomination. For more information, contact the nearest Government Contracting Area Office (see list beginning on page 33).

Who may submit nominations for these awards?

Only large federal prime contractors may nominate a firm for the Small Business Subcontractor of the Year Award.

Where are the nominations to be sent?

Nominations should be submitted to the Government Contracting Area Office, Attn: Government Contracting Area Director, in which the nominating prime contractor is located (see *list on page 33*).

What is the deadline for nominations?

All nominations for these awards must be postmarked or hand delivered **no later than December 15, 2006.**

What information must the nomination package contain?

Nominations must be typewritten on one side of 8 1/2" x 11" white stationery, collated and secured in a 1 1/2" binder. Incomplete nomination packages will not be considered. All

evaluation/selection criteria must be specifically addressed. A complete nomination package must include the following information, in the order indicated below:

- 1. A single cover page with containing:
 - •Award for which the nomination is being made (i.e., Small Business Subcontractor of the Year Award);
 - •The nominee's full name, title, business and home addresses, telephone and fax numbers, and e-mail address (if available);
 - •Nominator's name and title, prime contractor name, business address, and telephone number and e-mail address (if available); and
 - •One-paragraph description of the nominee's business and/or professional occupation.
- 2. Completed SBA Form 912 Statement of Personal History (available through SBA field offices).
- 3. Original 8" x 10" or 5" x 7" photo of the nominee, or a digital photo at least 300 dpi. (Photocopies are not acceptable.)
- 4. Nomination letter, to include a concise statement of the qualities and performance that merit the award, not exceeding four pages.
- 5. Brief biography of the nominee, not exceeding one page.
- 6. Business profile, not exceeding one page.
- 7. Any other supporting documentation deemed significant by the nominator, including news clips, letters of recommendation, and other evidence of the appropriateness of the nomination. (Supporting documentation must not exceed 10 pages.)
- 8. Completed Award Nomination Consent Form, attached and available at SBA offices.
- 9. Completed SBA Form 883, Nomination for Small Business Subcontractor of the Year Award, available on the Internet at http://www.sba.gov/library/forms.html, by clicking on Forms by Form Number.

What are the selection procedures for this award?

Small Business Subcontractor of the Year award winners will be selected at area and national levels. Nomination packages must be sent to the cognizant Government Contracting Area Offices. The area winners will be selected by a panel of judges at the cognizant Government Contracting Area Offices. The nomination packages of area winners will be transmitted to the Office of Government Contracting for review. The National Small Business Subcontractor of the Year will be selected by the SBA Administrator, based on the recommendations of a panel of judges. The area and national winners will be announced during National Small Business Week. Non-federal individuals serving as judges must sign a conflict of interest/non-disclosure certification.

What are the evaluation/selection criteria for this award?

The company must excel in the following areas:

- 1. Overall management
- 2. Delivery performance
- 3. Technical capabilities
- 4. Labor relations
- 5. Cost performance
- 6. Special achievements
- 7. Resource utilization
- 8. Customer interface
- 9. Financial strength, and

Dwight D. Eisenhower Award for Excellence

Who is eligible to be nominated for this award?

This award recognizes large prime contractors that have excelled in their utilization of small businesses as suppliers and subcontractors. One award may be made in each of the following five categories: manufacturing; service; research and development; construction; and utilities. Firms that have received this award within the past three years are not eligible for nomination. For more information, contact the nearest Government Contracting Area Office (see list beginning on page 33).

Who may submit nominations for this award?

The Dwight D. Eisenhower Award for Excellence is a self-nomination award program; large prime contractors nominate themselves.

Where are the nominations to be sent?

Nominations must be submitted to the SBA Government Contracting Area Office in which the prime contractor is located (see list beginning on page 33).

What is the deadline for nominations?

Nomination submission deadline is December 30, 2006.

What information must the nomination package contain?

Nominations must be typewritten on one side of 8 1/2" x 11" white stationery, collated and secured in a 1 1/2" binder. Incomplete nomination packages will not be considered. All evaluation/selection criteria must be specifically addressed. A complete nomination package must include the following information, in the order indicated below:

- 1. A single cover page with containing:
 - •Award for which the nomination is being made (i.e., Dwight D. Eisenhower Award for Excellence);
 - •The nominee's full name, title, business and home addresses, telephone and fax numbers, and e-mail address (if available);
 - •Nominator's name and title, prime contractor name, business address and telephone number and e-mail address (if available); and
 - •One-paragraph description of the nominee's business and/or professional occupation.
- 2. Original 8" x 10" or 5" x 7" photo of the nominee, or a digital photo at least 300 dpi. (Photocopies are not acceptable.)
- 3. Nomination letter, to include a concise statement of the qualities and performance that merit the award, not exceeding four pages.
- 4. Detailed narrative summarizing the company's subcontracting program.
- 5. Brief biography of the nominee, not exceeding one page.
- 6. Business profile, not exceeding one page.
- 7. Five-year trend analysis, in table format.

- 8. Any other supporting documentation deemed significant by the nominator, including news clips, letters of recommendation, and other evidence of the appropriateness of the nomination. (Supporting documentation must not exceed 10 pages.)
- 9. Completed Award Nomination Consent Form, attached and available at SBA offices.

What are the selection procedures for this award?

Following determination of eligibility by cognizant Government Contracting Area Offices, nomination packages will be forwarded to the Office of Government Contracting, Washington, DC, for review and recommendation of award winners. The SBA Administrator will approve the recommended winners. Non-federal individuals serving as judges must sign a conflict of interest/non-disclosure certification.

What are the evaluation/selection criteria for this award?

- 1. Summary Subcontract Report(s) for fiscal the year ending September 30, 2006 must reflect maximum practicable opportunity for small business, veteran-owned small business, service-disabled veteran owned small business, HUBZone small business, small disadvantaged business, and women-owned small business as required by statute and regulations.
- 2. Demonstrated management support of the small business program.
- 3. Effectiveness of the small business liaison officer.
- 4. Outreach efforts during the past 12 months (i.e., seminars, conferences, trade shows and any other related procurement functions).
- 5. Assistance to small businesses during the past two years and related success stories. (In the narrative submitted with the nomination, include the various kinds of assistance such as technical, managerial, etc. and where possible, identify recipients by category: small business, HUBZone small business, veteran-owned small business, service-disabled veteran-owned small business, small disadvantaged business, and women-owned small business).
- 6. Participation in a mentor-protégé program.
- 7. Receipt of other awards for small business activities (i.e., federal, state, trade shows, etc.) during the past three years.
- 8. Use of the Central Contractor Registration's (CCR's) Dynamic Small Business Search Engine, for identification of potential vendors, and use of SUB-Net for publication of subcontracting opportunities.
- 9. Nomination of firms under the Small Business Subcontractor of the Year Award Program in FY 2005, FY 2006 and FY 2007. (If the company has not yet submitted a nomination for the Subcontractor of the Year Award Program for FY 2007, it must indicate if it intends to do so).
- 10. Federal agencies with which the company had contracts containing subcontracting plans as of September 30, 2006.

Gold Star Award

Who is eligible to be nominated for this award?

This award recognizes federal agencies (e.g., Office of Small and Disadvantaged Business Utilization or, for the Department of Defense, the Office of Small Business Programs), which exhibit exemplary performance in pursuit of aggressive goals and strategic initiatives that

help ensure increased small business participation in the federal marketplace. Federal agencies that have received this award within the past two years are not eligible for nomination.

Who may submit nominations for this award?

Self-nominations for Gold Star Awards are made by the federal agencies.

Where are the nominations to be sent?

Nominations must be submitted to the Office of Government Contracting and Business Development, Attention: Gold Star Award, U.S. Small Business Administration, 409 3rd St. SW, Washington, D.C. 20416.

What is the deadline for nominations?

Nominations for the Gold Star award must be postmarked or hand delivered **no later than December 15, 2006.**

What information must the nomination package contain?

Nominations must be typewritten on one side of 8 1/2" x 11" white stationery, collated and secured in a 1 1/2" binder. Incomplete nomination packages will not be considered. All evaluation/selection criteria must be specifically addressed. A complete nomination package must include the following information, in the order indicated below:

- 1. A single cover page with containing:
 - •Award for which the nomination is being made (i.e., Gold Star Award);
 - •Name of office and agency nominated, and its business address;
 - •Nominator's name and title, business address, telephone number and e-mail address (if available);
- 2. Nomination letter, to include a concise statement of the qualities and performance that merit the award, not exceeding four pages.
- 3. Tabular presentation of agency small business and socioeconomic procurement preference goals for FY 2005, FY 2004, and FY 2003, and accomplishments against such goals, from the Federal Procurement Data System-Next Generation (FPDS-NG).
- 4. Detailed narrative summarizing the agency's: (a) goaling achievement for FY 2005, for small business and all socioeconomic procurement preference programs, and how such performance compares with that of prior years; and (b) marketing and outreach efforts, or other initiatives, in support of increased goal achievement, that rise to the level of best practices.
- 5. Any other supporting documentation deemed significant by the nominator, including news clips, letters of recommendation, and other evidence of the appropriateness of the nomination. (Supporting documentation must not exceed 10 pages).

What are the selection procedures for this award?

A panel of judges convened by the Office of Government Contracting and Business Development, will evaluate nominee(s) based on information contained in the nomination package, and recommend a recipient to the Administrator. The SBA Administrator will approve award winners. Non-federal individuals serving as judges must sign a conflict of interest/non-disclosure certification.

What are the evaluation/selection criteria for this award?

The federal agency nominated must have:

- 1. Met or exceeded its overall small business contracting goals for FY 2005;
- 2. Met or exceeded at least three socioeconomic procurement preference goals for FY 2005; and
- 3. Engaged in a level or type of outreach to the small business community, or undertook other measures to increase achievements against goals, that rose to the level of best practice.

In evaluating nominations, the following will be considered.

- 1. Goaling achievement for FY 2005, for small business and all socioeconomic procurement preference programs, and how such performance compares with that of prior years; and,
- 2. Best practices including marketing and outreach efforts, or other initiatives, in support of increased goal achievement.

Frances Perkins Vanguard Award

Who is eligible to be nominated for this award?

This award honors federal government agencies and industry for their excellence in the use of women-owned small businesses as prime contractors and subcontractors. Awards will recognize exemplary utilization of women-owned small businesses by:

- 1. Federal buying activity,
- 2. Federal procurement official,
- 3. Industry buying activity, and
- 4. Industry procurement official.

Firms, federal agencies, and officials that have received this award within the past three years are not eligible for nomination.

Who may submit nominations for this award?

Firms and agencies must self-nominate for the Frances Perkins Vanguard Award.

Where are the nominations to be sent?

Nominations must be made to the Office of Government Contracting and Business Development, Attention: Frances Perkins Vanguard Award, U.S. Small Business Administration, 409 3rd St. SW, Washington, D.C. 20416.

What is the deadline for nominations?

All nominations for the Frances Perkins Vanguard Award must be postmarked or hand delivered no later than December 18, 2006.

What information must the nomination package contain?

Nominations must be typewritten on one side of 8 1/2" x 11" white stationery, collated and secured in a 1 1/2" binder. Incomplete nomination packages will not be considered. All evaluation/selection criteria must be specifically addressed. A complete nomination package must include the following information, in the order indicated below:

- 1. A single cover page with containing:
 - Award for which the nomination is being made (i.e., Frances Perkins Vanguard Award);
 - Name of the federal buying activity, federal procurement official, industry buying activity, or industry procurement official, and business address, telephone and FAX numbers, and e-mail address (if available); and
 - Nominator's name and title, business address, telephone number and e-mail address (if available).
- 2. Nomination letter, to include a concise statement of the qualities and performance that merit the award, not exceeding four pages.
- 3. For nomination of federal buying activities and/or federal procurement officials tabular presentation of buying activity women-owned small business goals for FY 2005, FY 2004, and FY 2003, and accomplishments against such goals, from the Federal Procurement Data System-Next Generation (FPDS-NG).
- 4. For nomination of industry buying activities and/or industry procurement officials: tabular presentation Summary Subcontract Reports for FY 2005, FY 2004, and FY 2003.
- 5. Detailed narrative summarizing the following with respect to increasing participation of women owned small businesses in the federal marketplace.
 - Leadership includes, but is not limited to, factors such as: written policy
 supporting women-owned small businesses; reference to women-owned small
 businesses in standard operating procedures; designation of a senior executive
 responsible for implementing women-owned small business initiatives and formal
 recognition of procurement personnel who utilize women-owned small businesses.
 - 2. Advocacy includes, but is not limited to, factors such as: outreach efforts including newsletters; business assistance and training seminars for women business owners; facilitating trade show/marketplace presentations.
 - 3. Innovation includes, but is not limited to, factors such as: using new technologies; new methodologies; new processes and challenging the conventional procedures, resulting in an increase in women-owned small business' participation.
 - 4. Implementation includes, but is not limited to, factors such as: development and administration of an agency/company plan to ensure maximum practicable opportunities for women-owned small businesses as subcontractors; and establishing aggressive subcontracting goals for women.
 - 5. Accomplishment the trend in awards to women owned small businesses (prime contracts for federal buying activities and officials, and subcontracts for industry buying activities and officials).
- 6. Any other supporting documentation deemed significant by the nominator, including news clips, letters of recommendation, and other evidence of the appropriateness of the nomination. (Supporting documentation must not exceed 10 pages).

What are the selection procedures for this award?

Following the determination of eligibility, a panel of judges, convened by the Office of Government Contracting and Business Development will review nominations, and recommend award winners to the Administrator. The SBA Administrator will make final selection of award winners. Non-federal individuals serving as judges must sign a conflict of interest/non-disclosure certification.

What are the evaluation/selection criteria for this award?

In evaluating nominations, the following (as described in detail above) will be considered.

- 1. Leadership,
- 2. Advocacy,
- 3. Innovation,
- 4. Implementation, and
- 5. Accomplishment.

The following awards do not require nominations, but will be presented during Small Business Week:

Small Business Lenders of the Year

Who is eligible to be nominated for this award?

Awards are presented to those lenders that have used the SBA loan programs to help the maximum number of small business owners to obtain the financing that they need to grow their businesses. These lenders demonstrate their creativity by using the SBA loan programs to assist those businesses that are not able to obtain conventional financing on reasonable terms. The awards include the following:

Lenders of the Year Award

This award recognizes two 7(a) Loan Lenders and two 504 Loan Lenders. The awards for each category are based on lender size, portfolio performance, and growth in approval volume for the previous fiscal year as well as having demonstrated a steadfast commitment to supporting the growth and expansion of small businesses across the country even beyond the scope of SBA loans.

Export Lender of the Year Award

This award recognizes two lenders, including nonbank lenders, which have made the most SBA-guaranteed international trade loans the previous fiscal year as well as having demonstrated a steadfast commitment to supporting the growth and expansion of small businesses across the country even beyond the scope of SBA loans. The focus includes Export Express, Export Working Capital, and International Trade loans.

For More Information

SBA offices are located in all 50 states, the District of Columbia, Puerto Rico, the U.S. Virgin Islands and Guam.

For the office nearest you, look under "U.S. Government" in your telephone directory, or contact:

Home page: <u>www.sba.gov</u>.
Fax: 202-481-6190

• Phone: 1-800-U ASK SBA

• E-mail: answerdesk@sba.gov • TDD: 704-344-6640

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Anchorage, AK 99501

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2828 North Central Ave.

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San Francisco, CA 94105

Tel: 415-744-8475

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1007 N. Orange St.

Wilmington, DE 19801

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740 15th Street, N.W.

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380 Parkcenter Blvd.

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Tel: 208-334-9004

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500 West Madison St.

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New Orleans, LA 70130

Tel: 504-589-2853

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68 Sewall St.

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Augusta, ME 04330

Tel: 207-622-8274 x386

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Baltimore, MD 21201

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Room 4001, Fed. Bldg.

Casper, WY 82602-5013

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Fax: 404-331-2956

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KS, NB, SD, ND)

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Office of Government Contracting 4300 Amon Carter Blvd., Suite 116

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U.S. Small Business Administration

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Did you know that America's 25.8 million small businesses—

- employ half of the private work force?
- generate more than 50 percent of the nonfarm private gross domestic product?
- generate 60 to 80 percent of the net new jobs?

SBA Disaster Field Operations Centers

U.S. Small Business Administration Office of Disaster Assistance Field Operations Center – East One Baltimore Place Suite 300 Atlanta, GA 30308 1-404-347-3771

AL, CT, DC, DE, FL, GA, IL, IN, KY, MA, ME, MD, MI, MS, MN, NH, NJ, NY, NC, OH, PA, PR, RI, SC, TN, VI, VT, WI, WV

U.S. Small Business Administration Office of Disaster Assistance Field Operations Center – West 6501 Sylvan Road Citrus Heights, CA 95610 1-716-735-1500

AK, AR, AS, AZ, CA, CO, MPFM, FL, GU, HI, IA, ID, KS, LA, MO, MI, MT ND, NE, NM, NV, OK, OR, SD, TX, UT, WA, WV